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REMARKS

Reconsideration of the application in view of the present amendment is respectfully requested.

The specification is amended to update information of the related applications.

The Office Action states suggests that the title is not descriptive, and that a new title is required. In this regard, Applicant proposes that the title be amended to "FINANCIAL DOCUMENT PROCESSING SYSTEM AND METHOD OF OPERATING A FINANCIAL DOCUMENT PROCESSING TRANSPORT TO HANDLE AN EXCEPTION CONDITION". Applicant respectfully requests that the Examiner confirm in the next communication if the proposed new title is acceptable. If the proposed new title is unacceptable, Applicant respectfully requests that the Examiner propose a new title which would be acceptable.

Claims 18, 19, and 26 are canceled. Claim 20 is amended to include the subject matter of canceled claims 18 and 19, and claim 27 is amended to include the subject matter of canceled claim 26. Accordingly, claims 20-25 and 27-30 are pending.

Each of claims 20-24 recites, inter alia, "a portable control unit including.....(iii) means for receiving command inputs from the operator, (iv) means for transmitting command messages which are based upon the command inputs to the transport to control operation of the transport, (v) means for receiving a broadcasted message advising that the transport is available, (vi) means for enabling the operator to select the transport, and (vii) means for exchanging authenticating information with the transport to establish a communication session with the transport.

Applicant would like to respectfully point out that Brooks et al. (referred to herein as "Brooks") discloses a LAN connection by which a remotely located operator can view an image of a document and make corrections via conventional data entry procedures (see column 4, lines 1-13 of the specification of Brooks). Nowhere does Brooks disclose or even remotely suggest "a portable control unit including.....(iii) means for receiving command inputs from the operator, (iv) means for transmitting command messages which are based upon the command inputs to the transport to control operation of the transport, (v) means for

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receiving a broadcasted message advising that the transport is available, (vi) means for enabling the operator to select the transport, and (vii) means for exchanging authenticating information with the transport to establish a communication session with the transport", as recited in each of claims 20-24 of the present application.

If the Examiner continues to reject claims 20-24 by applying Brooks, it is respectfully requested that the Examiner specifically point out where Brooks discloses or suggests that an operator can enter a command (which is not the same as merely entering data) via a portable control unit to select a transport. In fact, Brooks does not disclose or even suggest that an operator can issue a command to control operation of the transport, let alone a command to select one of a plurality of transports to establish a communication session. Absent an adequate explanation, it is respectfully submitted that the rejection of claims 20-24 is improper and, therefore, should be withdrawn.

Claim 25 recites, *inter alia*, the step of "wirelessly receiving commands from the portable control unit when an operator responds to operator messages which have been wirelessly transmitted to the portable control unit" (*emphasis added by Applicant*). Nowhere does Brooks disclose or suggest this feature. Thus, claim 25 patentably defines over the prior art including Brooks and is, therefore, allowable.

Claim 27 recites, *inter alia*, "receiving a command message from the portable control unit when the operator issues commands based upon the operator viewing the operator message displayed on the second display on the portable control unit" (*emphasis added by Applicant*). Nowhere does Brooks disclose or suggest this feature. Thus, claim 27 patentably defines over the prior art including Brooks and is, therefore, allowable.

Each of claims 28-30 recites, *inter alia*, "each control unit including.....(ii) means for generating a display listing available transports based upon messages which have been generated by transports, (iii) means for enabling an operator to select from the listing of available transports a desired transport with which to request a communication session, and (iv) means for wirelessly transmitting a selection message which is indicative of the transport which has been selected by the operator and with which the operator desires to request a communication session".

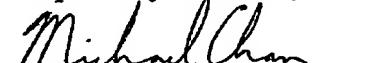
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If the Examiner continues to reject claims 28-30 by applying Brooks, it is respectfully requested that the Examiner specifically point out where Brooks discloses or suggests that the operator is presented with a listing of available transports such that the operator can select one of the transports to request a communication session. Absent an adequate explanation, it is respectfully submitted that the rejection is improper and, therefore, should be withdrawn.

This amendment may be entered upon a showing of good reasons why it is necessary and was not presented earlier as per 37 C.F.R. Section 1.116. This amendment is necessary to overcome the rejections stated in the final Office Action. The amendment was not earlier presented because the Applicant did not know of the Examiner's position with respect to rejection of claims in the present application until receiving the final Office Action. Also, by way of the present amendment, certain rejected claims are canceled and certain rejected claims are presented in better form for consideration on appeal.

In view of the foregoing, it is submitted that the application is in condition for allowance, and allowance of the application is respectfully requested.

Respectfully submitted,



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